

Claim Lodged Under Guarantee Issued User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Claim Lodged Under Guarantee Issued User Guide
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1. Preface

1.1 Introduction

This user manual is designed to help you quickly get acquainted with Lodge Claim Under Guarantee Issued process in Oracle Banking Trade Finance Process Management.

1.2 Audience

This manual is intended for the following User/User Roles:

- Oracle Implementers
- Customer Service Representatives (CSRs)
- Oracle user

1.3 Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc>.

Access to Oracle Support

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info> or visit <http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs> if you are hearing impaired.

1.4 Organization

This manual is organized into the following chapters:

- Preface gives information on the intended audience, structure, and related documents for this User Manual.
- The subsequent chapters provide an overview to the module.

1.5 Related Documents

- Getting Started User Guide
- Common Core User Guide

1.6 Diversity and Inclusion

Oracle is fully committed to diversity and inclusion. Oracle respects and values having a diverse workforce that increases thought leadership and innovation. As part of our initiative to build a more inclusive culture that positively impacts our employees, customers, and partners, we are working to remove insensitive terms from our products and documentation. We are also mindful of the necessity to maintain compatibility with our customers' existing technologies and the need to ensure continuity of service as Oracle's offerings and industry

standards evolve. Because of these technical constraints, our effort to remove insensitive terms is ongoing and will take time and external cooperation.

1.7 Conventions

The following text conventions are used in this document:

Convention	Meaning
boldface	Boldface type indicates graphical user interface elements associated with an action, or terms defined in text.
<i>italic</i>	Italic type indicates book titles, emphasis, or placeholder variables for which you supply particular values.
monospace	Monospace type indicates commands within a paragraph, URLs, code in examples, text that appears on the screen, or text that you enter.

1.8 Screenshot Disclaimer

Personal information used in the interface or documents is dummy and does not exist in the real world. It is only for reference purposes.

1.9 Glossary of Icons

This User Manual may refer to all or some of the following icons.

Icons	Function
	Exit
	Add row
	Delete row
	Option List

2. Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

2.1 Overview

OBTFPM is a Trade Finance middle office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

2.2 Benefits

OBTFPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

2.3 Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

3. Lodge Claim Guarantee Issued

As part of Lodge Claim - Guarantee Issued process, the applicant can lodge a claim against the Guarantee/SBLC issued.

The various scenarios to lodge the complaint against the guarantee issued:

- Claim received from beneficiary directly at Issuing Bank
- Claim received from the Counter Issuing Bank (CIB) at Counter - Counter Issuing Bank (enable SWIFT STP)
- Claim received from LIB at CIB (enable SWIFT STP)
- Claim received from advising bank/ATB at ISB (enable SWIFT STP)
- Claim received from beneficiary bank through a swift message at ISB (enable SWIFT STP)
- Claim received at the LIB to be claimed with CIB-Enable SWIFT STP
- Claim received from LIB at CIB to be claimed from CCIB- Enable SWIFT STP
- Claims received from the Local Guarantee Issuing Bank (LIB), Counter Guarantee Issuing Bank (CIB), Advising Bank (ABK) or Claiming Bank (Presenting Bank).

In the subsequent sections, let's look at the details for Lodge Claim - Guarantee Issuance process:

This section contains the following topics:

3.1 Common Initiation Stage	3.2 Registration
3.3 Scrutiny	3.4 Data Enrichment
3.5 Multi Level Approval	

3.1 Common Initiation Stage

The user can initiate the new claim under Guarantee Issued request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle 'Initiate Task' registration interface. On the left is a sidebar menu with various options, and 'Initiate Task' is selected. The main content area is titled 'Registration' and contains two dropdown menus: 'Process Name' and 'Branch'. The 'Process Name' dropdown is set to 'Lodge Claim Guarantee Issued', and the 'Branch' dropdown is set to 'PK2-Oracle Banking Trade Finan...'. At the bottom right of the form are two buttons: 'Proceed' and 'Clear'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Branch	Select the branch.

3.1.0.1 Action Buttons

Use action buttons based on the description in the following table:

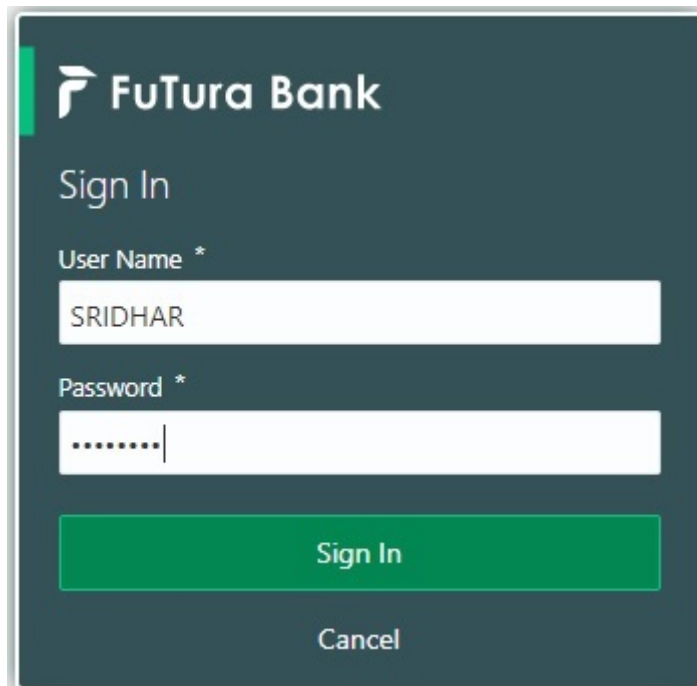
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

3.2 Registration

During the Registration stage, the user can register a claim request against the Guarantee/ SBLC issued.

The user can capture the basic details of the application, check the signature of the applicant and upload the related documents of the applicant.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

SRIDHAR

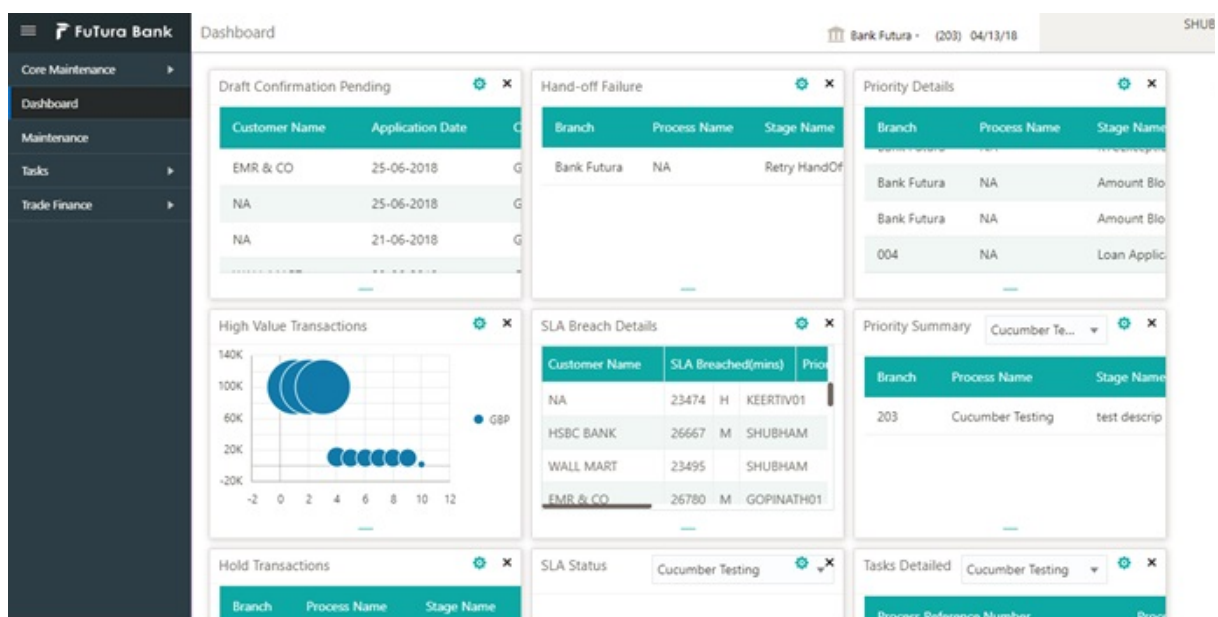
Password *

.....

Sign In

Cancel

- On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura - (203) 04/13/18 SHUB

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prior
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

Process Reference Number	Proo
--------------------------	------

- Click **Trade Finance**> **Bank Guarantee Issuance** > **Lodge Claim - Guarantee Issued**.

The screenshot shows the Oracle Dashboard with a sidebar menu on the left. The main area contains several panels:

- Hand-off Failure:** A table with columns: Customer ID, Hand-off user, and a list of tasks.

Customer ID	Hand-off user
300GTA000038578	JEEVA01
300ILCI00038584	PREETI02
300ILCI00038575	PREETI02
300II CA000038423	KIRAN01
- SLA Status Summary:** A panel showing "No data to display".
- Priority Summary:** A panel showing "No of High Priority Items" and "No data to display".
- High Priority Tasks:** A panel showing "Customer ID" and "User ID" with "No data to display".
- SLA Breach Details:** A panel showing "SLA Breached (mins)" and "User ID" with "No data to display".

The Registration stage has two sections Application Details and Guarantee Details. Let's look at the registration screens below:

3.2.1 Application Details

The screenshot shows the Oracle Application Details and Guarantee Details registration screen. The top section is titled "Application Details - Main" and contains fields for:

- Undertaking Number: GUI523215CFKT
- Received From - Customer ID: 032204 Air Arabia
- Branch: 032-Oracle Banking Trade Finan...
- Claim Serial Number
- Priority: Medium
- Submission Mode: Desk
- Claim Received Date: Aug 3, 2023
- Lodgement Date: 3, 2023
- User Reference Number: 032GUI523215CFKT

The bottom section is titled "Guarantee Details" and contains fields for:

- Guarantee Type
- 30 Date of Issue: Aug 3, 2023
- Purpose of Message: ISSU
- 23B Expiry Type: COND - With Expiry
- Date of Expiry: 3, 2027
- Claim Date: Aug 3, 2026
- Claim Expiry Date: Aug 23, 2027
- Outstanding Currency/ Amount: AED 1,300.00
- Applicable Rules: IG - Uniform rules for dema...
- Applicant Bank
- 50 Applicant: 032204 Air Arabia
- 59A Beneficiary: 032205 Aldar Properti
- Issuing Bank
- Counter Guarantee Issuing Bank
- Local Guarantee Issuing Bank
- Accountee

Buttons at the bottom include: Hold, Cancel, Save & Close, and Submit.

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Undertaking Number	User can enter the undertaking number. The user can also search the undertaking number through LOV search.	
Received From Customer ID/ Name	Read only field. System defaults the Customer ID/ Name from Guarantee/ SBLC Issuance.	001345

Field	Description	Sample Values
Branch	Customer's home branch will be displayed. Read only field. System defaults the home branch from Guarantee/ SBLC Issuance.	203-Bank Futura -Branch FZ1
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. The user can change the priority.	High
Submission Mode	Select the submission mode of Guarantee Issuance request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Fax - Request received through Fax Email - Request received through Email Courier - Request received through Courier SWIFT-Non STP - Request received through SWIFT	Desk
Claim Received Date	By default, the application will display branch's current date. Date on which claim is received. The user can change the date.	04/13/2018
Claim Lodgement Date	By default, the application will display branch's current date. Read only field. Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	Read only field. System defaults the 'Beneficiary Reference number' if available.	

Field	Description	Sample Values
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of Undertaking Number.	PK2GUI121144 0001

3.2.2 Guarantee Details

Registration user can provide Guarantee details in this section. Alternately, guarantee details can be provided by Scrutiny user.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field. This field indicates whether undertaking has specified expiry date or is open-ended. System defaults the expiry type from Guarantee/ SBLC Issuance.	
Date Of Expiry	Read only field. Expiry date of the Guarantee Issuance. System defaults the expiry date from Guarantee/ SBLC Issuance.	09/30/18
Claim Date	Read only field. System defaults the claim date from Guarantee/ SBLC Issuance.	04/13/2018

Field	Description	Sample Values
Claim Expiry Date	Read only field. System defaults the claim expiry date from Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Rules for Guarantee. Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	System defaults the beneficiary from Guarantee/ SBLC Issuance. User can modify the beneficiary if required. Alternatively, click Search to search and select the beneficiary from the look-up. Note If the KYC non-compliant party is selected then the system immediately gives instant alert as "Customer ID - (CIF ID) is not KYC compliant."	001345 Nestle
Advising Bank	Read only field. System defaults the advising bank if available.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available.	Advising Bank Reference
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available.	

Field	Description	Sample Values
Presenting Bank	Read only field. System defaults the presenting bank reference if available. Note Currently this field is not available in OBTF.	
Accountee	Read only field. System defaults the accountee name if available.	

3.2.3 Miscellaneous

ORACLE ENTITY_ID1 (ENTITY_L... FLEXCUBE UNIVERSAL BAN... Aug 3, 2023 POORNIM subham@gmail

Age Claim - Guarantee Issued
Registration :: Application No:- 032GTEC000167393

Documents Remarks Overrides Customer Instruction Incoming Message

Application Details - Main

Tracking Number: GUIS23215CFKT
 Claim Reference Number: GTEC000167393
 Lodgement Date: 3, 2023

Received From - Customer ID: 032204 Air Arabia
 Priority: Medium
 Beneficiary Reference Number:

Branch: 032-Oracle Banking Trade Finan...
 Submission Mode: Desk
 User Reference Number: 032GUIS23215CFKT

Claim Serial Number
 Claim Received Date: Aug 3, 2023

View Guarantee/SBLC Guarantee/SBLC Event

Guarantee Details

Guarantee Type
 Date of Expiry: 3, 2027
 Applicable Rules: IG - Uniform rules for dema...
 Issuing Bank
 Presenting Bank

30 Date of Issue: Aug 3, 2023
 Claim Date: Aug 3, 2026
 Applicant Bank
 Advise Through Bank
 Accountee

Purpose of Message: ISSU
 Claim Expiry Date: Aug 23, 2027
 50 Applicant: 032204 Air Arabia
 Counter Guarantee Issuing Bank

23B Expiry Type: COND - With Expiry
 Outstanding Currency/ Amount: AED 1,300.00
 59A Beneficiary: 032205 Aldar Property
 Local Guarantee Issuing Bank

Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the claim documents. Application will display the mandatory and optional documents.	
Remarks	Provide any additional information regarding the Claim Guarantee Issuance. This information can be viewed by other users processing the request. Content from Remarks Field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Guarantee/SBLC	User can view the latest Guarantee/Standby LC details.	
Guarantee/SBLC Events	User can view all the previous events under the Lodge Claim Guarantee Issued.	
Submit	On Submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Lodge Claim Guarantee Issued. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Lodge Claim Guarantee Issued Registration stage input.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 1. Documents are verified and uploaded 2. Signatures on Claim verified	

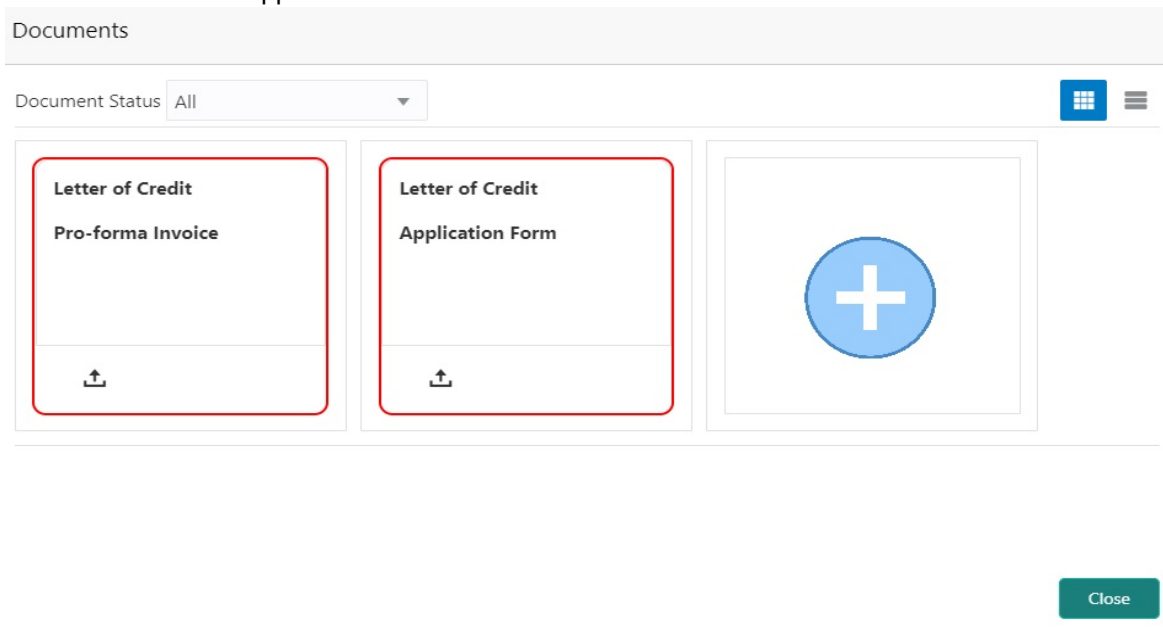
3.2.4 Document Linkage

The user can link an existing uploaded document in any of the process stages.

In OBTFPM, system should display Document Ids available in the DMS system. In DMS system, the documents can be Uploaded and stored for future access. Every document stored in DMS will have a unique document id along with other Metadata. The uploaded Document image in the DMS should be available/queried in the Process flow stage screens to link with the task by using the Document ID.

System displays the Documents ids which is not linked with any of the task. Mid office should allow either upload the document or link the document during task processing. The Mid office should allow to Link the same Document in multiple tasks.

1. Navigate to the Registration screen.
2. On the header of **Registration** screen, click **Documents** button. The Document pop-up screen appears.



3. Click the Add Additional Documents button/ link. The **Document** screen appears.

Document

Document Type *
Letter of Credit ▼

Document Title *

Remarks

Drop files here or click to select

Selected files: []

Document Code *
Insurance Policy ▼

Document Description

Document Expiry Date

[Link Document](#)

Upload

Link

Cancel

Field	Description	Sample Values
Document Type	Select the Document type from list. Indicates the document type from metadata.	
Document Code	Select the Document Code from list. Indicates the document Code from metadata.	
Document Title	Specify the document title.	
Document Description	Specify the document description.	
Remarks	Specify the remarks.	
Document Expiry Date	Select the document expiry date.	
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	

4. Select the document to be uploaded or linked and click the **Link Document** link. The link Document pop up appears.

The value selected in Document Type and Document code of Document screen are defaulted in the Link Document Search screen.

Link Document

Customer Id *

032204

Document Id

Document Type *

Document Code *

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
No data to display.						

Page

1

(0 of 0 items)

<<

<

1

>

>>

Close

5. Click **Fetch** to retrieve the details from DMS. System Displays all the documents available for the given Document Type and Document Code for the Customer.

Field	Description	Sample Values
Customer ID	This field displays the transaction Customer ID.	
Document ID	Specify the document Id.	
Document Type	Select the document type from list.	
Document Code	Select the document code from list.	
Search Result		
Link Document	The link to link the existing uploaded documents from DMS to the workflow task.	
Document ID	This field displays the document Code from meta data.	
Customer ID	This field displays the transaction Customer ID.	
Document Type	This field displays the document type from meta data.	
Document Code	This field displays the document code from meta data.	
Upload Date	The field displays the upload date of the document.	
Reference Number	The field displays the reference number of the document.	

6. Click **Link** to link the particular document required for the current transaction.

Link Document

Customer Id *

032204

Document Type *

Documentary Collection

Document Id

Document Code *

Insurance Policy

Fetch

Link Document	Document Id	Customer Id	Document Type	Document Code	Upload Date	Reference Number
Link	1559	032204	HGJH	INSURANCE	Mar 9, 2023	032IDCB000017631
Link	2649	032204	testing	INSURANCE	Mar 29, 2023	032ILCC000021179
Link	4143	032204		INSURANCE	May 8, 2023	032ILCU000032029
Link	4145	032204		INSURANCE	May 8, 2023	032ILCU000032042
Link	4305	032204		INSURANCE	May 10, 2023	032IDCB000033105

Page 1 of 2 (1-5 of 7 items)

<

1

2

>

Close

Post linking the document, the user can View, Edit and Download the document.

7. Click Edit icon to edit the documents. The Edit Document screen appears.

Edit Document

Document Id

2400

Application Reference Number

PK2ILCI000019041

Document Type Id

TFCM_DOCTYPE001

Document Title

wqwq

Entity Reference Number

PK2ILCI000019041

Document Description

Remarks

Document Expiry Date

Jun 29, 2022

Drop files here or click to select

Current selected files: []

Update

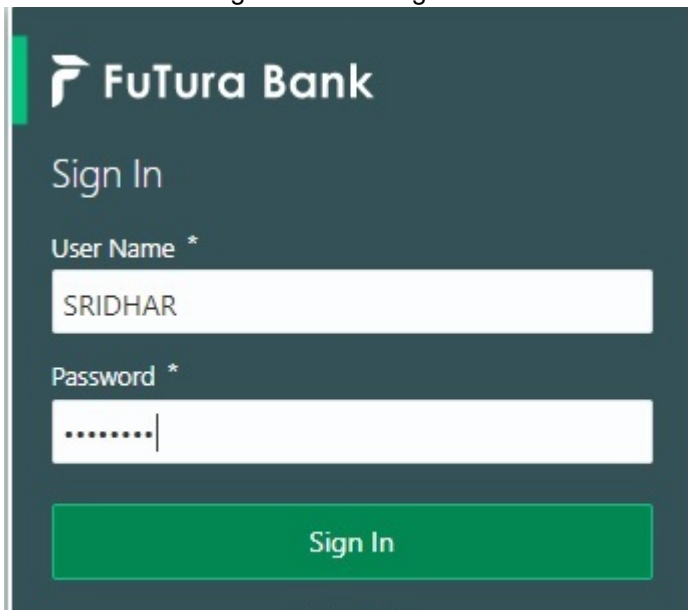
Cancel

3.3 Scrutiny

On successful completion of Registration of a Lodge Claim Guarantee Issued request, the request moves to scrutiny stage. At this stage the gathered information during Registration stage and claim request are scrutinized. As part of scrutiny, the bank user can update the various claim fields. The user should also be able to input the transaction details.

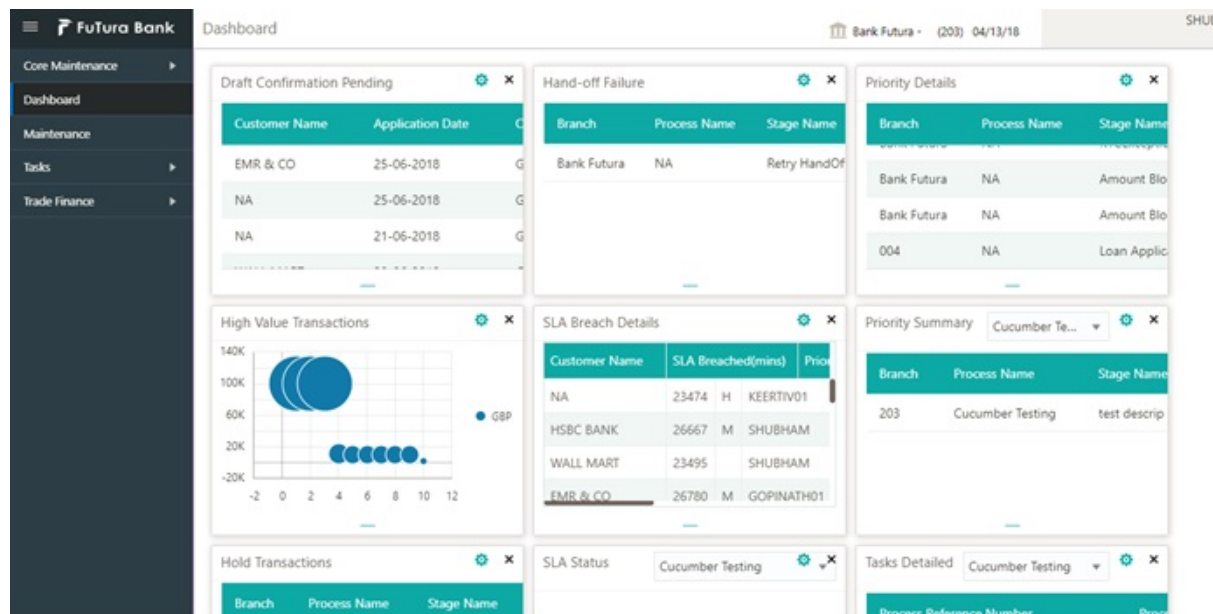
Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is located at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.

ORACLE Free Tasks (PK2) Mar 22, 2019 JEE subham@gm

Item Search...

Refresh Acquire Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☐ Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCE000039486	PK2GTCE000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
☐ Acquire & Edit	M	Guarantee Advise Amen...	PK2GTAA000039471	PK2GTAA000039471	Registration	20-11-11	PK2	001044	£27,000.00
☐ Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
☐ Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
☐ Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCE000039459	PK2GTCE000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
☐ Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCE000039464	PK2GTCE000039464	Approval Task Level 1	20-11-11	PK2		
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
☐ Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2		£10.00
☐ Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTETI000039457	PK2GTETI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
☐ Acquire & Edit	M	Guarantee Cancellation	PK2GTCE000039450	PK2GTCE000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
☐ Acquire & Edit	M	Guarantee Cancellation	PK2GTCE000039449	PK2GTCE000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

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4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

ORACLE Free Tasks (PK2) Mar 22, 2019 JEE subham@gm

Item Search...

Refresh Acquire Assign Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Acquire & Edit	M	Lodge Claim - Guarant	PK2GTCE000039486	PK2GTCE000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
☐ Acquire & Edit	M	Guarantee Advise Amen...	PK2GTAA000039471	PK2GTAA000039471	Registration	20-11-11	PK2	001044	£27,000.00
☐ Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
☐ Acquire & Edit	M	ExportLC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
☐ Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCE000039459	PK2GTCE000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
☐ Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCE000039464	PK2GTCE000039464	Approval Task Level 1	20-11-11	PK2		
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
☐ Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2		£10.00
☐ Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTETI000039457	PK2GTETI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
☐ Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
☐ Acquire & Edit	M	Guarantee Cancellation	PK2GTCE000039450	PK2GTCE000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
☐ Acquire & Edit	M	Guarantee Cancellation	PK2GTCE000039449	PK2GTCE000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Scrutiny stage.

ORACLE My Tasks (PK2) Mar 22, 2019 JEE subham@gm

Item Search...

Refresh Release Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒ Edit	M	Lodge Claim - Guarant	PK2GTCE000039486	PK2GTCE000039486	Scrutiny	20-11-11	PK2	001044	£2,000.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039455	PK2GTCE000039455	Registration	20-11-11	PK2	001044	£76,355.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039428	PK2GTCE000039428	Scrutiny	20-11-11	PK2	001044	£2,000.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039427	PK2GTCE000039427	Registration	20-11-11	PK2	001044	£1,000.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039419	PK2GTCE000039419	Registration	20-11-11	PK2	001044	£76,355.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039418	PK2GTCE000039418	Registration	20-11-11	PK2	001044	£76,355.00
☐ Edit	M	Guarantee Advise	PK2GTAA000039414	PK2GTAA000039414	Scrutiny	20-11-11	PK2		£9,000.00
☐ Edit	M	Guarantee Issuance	PK2GTETI000039413	PK2GTETI000039413	Scrutiny	20-11-11	PK2	006217	£1,000.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039399	PK2GTCE000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039398	PK2GTCE000039398	Registration	20-11-10	PK2	001044	£80,000.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039397	PK2GTCE000039397	Registration	20-11-10	PK2	001044	£76,355.00
☐ Edit		Guarantee Claim Lodging	PK2GTCE000039396	PK2GTCE000039396	Registration	20-11-10	PK2	001044	£76,355.00
☐ Edit	M	Guarantee Amendment	PK2GTAA000039371	PK2GTAA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
☐ Edit	M	Guarantee Advise Amen...	PK2GTAA000039364	PK2GTAA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

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The Scrutiny stage has sections as follows:

- Main Details
- Claim Details
- Document Details
- Additional Fields

- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for scrutiny stage. User can enter/update the following fields as part of claim under Guarantee/SBLC - Scrutiny Stage. Some of the fields that are already having value from registration/ online channels may not be editable.

In case of requests received through SWIFT MT765, the task will be created in Scrutiny stage directly and the fields will be populated based on the incoming request.

3.3.1 Main Details

Main details section has two sub section as follows:

- Application Details
- Guarantee Details

3.3.1.1 Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [3.2.1 Application Details](#) in the Registration stage for more information of the fields.

In case of SWIFT MT 765, the system displays the following fields.

Field	Description	Sample Values
Undertaking Number	Read Only field. In case of SWIFT MT 765, System to populate the undertaking number from the incoming SWIFT MT 765, Tag 21 Related Reference.	

Field	Description	Sample Values
Received From Customer ID/ Name	Read only field. System defaults the Customer ID/ Name from the underlying Guarantee/ SBLC Issuance.	001345
Branch	Read only field. System defaults the branch code as applicable.	203-Bank Futura -Branch FZ1
Claim Serial Number	Read only field. System defaults the claim serial number from Guarantee/ SBLC Issuance. This should be the latest claim number available in back-end system +1.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEISS000 001134
Priority	Priority maintained will be populated as either 'Low or Medium or High'. If priority is not maintained for a customer, 'Medium' priority will be defaulted. The user can change the priority.	High
Submission Mode	In case of SWIFT MT 765 system defaults the submission mode as 'SWIFT'. The user can change the submission mode.	
Claim Received Date	By default, the application will display branch's current date. Date on which claim is received. The user can change date.	04/13/2018
Claim Lodgement Date	By default, the application will display branch's current date. Read only field. Note Future date and back date selection is not allowed.	04/13/2018
Beneficiary Reference Number	Read only field. In case of SWIFT MT 765, System populates Tag 23 - Beneficiary Reference Number from the Incoming MT 765.	
User Reference Number	Read only field. System defaults the user reference number, depending on the selection of Undertaking Number	PK2GUI121144 0001

3.3.1.2 Guarantee Details

The fields listed under this section are same as the fields listed under the [3.2.2 Guarantee Details](#) section in [3.2 Registration](#). Refer to [3.2.2 Guarantee Details](#) for more information of the fields. During registration, if user has not captured input, then user can capture the details in this section.

Guarantee Details			
Guarantee Type BILL	30 Date of Issue Aug 3, 2023	Purpose of Message ISSU	23B Expiry Type COND - With Expiry
31E Date of Expiry Aug 3, 2027	Claim Date Aug 3, 2026	Claim Expiry Date Aug 23, 2027	Outstanding Currency/ Amount AED 1,300.00
40C Applicable Rules URDG - Uniform rules for dema...	Applicant Bank	50 Applicant 032204 Air Arabia	59A Beneficiary 032205 Aldar Properties
Advising Bank	Advise Through Bank	Counter Guarantee Issuing Bank	Local Guarantee Issuing Bank
Presenting Bank	Accountee		

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

In case of SWIFT MT 765, the system displays the following fields.

3.3.1.3

Field	Description	Sample Values
Guarantee Type	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	ADVP
Date of Issue	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	04/13/18
Purpose of message	Read only field. System defaults the purpose of message from Guarantee/ SBLC Issuance.	
Expiry Type	Read only field. System defaults the expiry type as in Guarantee/ SBLC Issuance.	
Date Of Expiry	Read only field. System defaults the expiry date as in Guarantee/ SBLC Issuance.	09/30/18
Claim Date	Read only field. System defaults the claim date as in Guarantee/ SBLC Issuance.	04/13/2018
Claim Expiry Date	Read only field. System defaults the claim expiry date as in Guarantee/ SBLC Issuance.	04/13/2018
Outstanding Currency/ Amount	Read only field. System defaults the outstanding currency and amount from Guarantee/ SBLC Issuance.	
Applicable Rules	Read only field. System defaults the value from Guarantee/ SBLC Issuance.	URDG - Uniform rules for demand guarantees
Applicant Bank	Read only field. System defaults the applicant bank details from Guarantee/ SBLC Issuance.	001345 Nestle
Applicant	Read only field. System defaults the applicant from Guarantee/ SBLC Issuance.	001345 Nestle
Beneficiary	Read only field. System defaults the beneficiary as in Guarantee/ SBLC Issuance.	001345 Nestle

Field	Description	Sample Values
Advising Bank	Read only field. System defaults the advising bank if available in issuance.	001343 - Bank Of America
Advising Through Bank	Read only field. System defaults the advising through bank if available in issuance.	
Counter Guarantee Issuing Bank	Read only field. System defaults the counter guarantee issuing through bank if available in issuance.	
Local Guarantee Issuing Bank	Read only field. System defaults the local guarantee issuing bank if available in issuance.	
Presenting Bank	Read only field. System defaults the presenting bank if available in issuance.	
Accountee	Read only field. System defaults the accountee name if available.	

3.3.1.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.2 Claim Details

Provide the Claim details based on the description in the following table:

Field	Description	Sample Values
Claiming Bank	Select the Claiming Party from whom the claim under the Bank Guarantee issued is received while lodging the Guarantee Claim. The options are: - Advising Bank - Others	

Field	Description	Sample Values
Claiming Bank Name & Address	This field is read only and displays the claiming bank details, if Advising Bank option is selected in Claiming Bank field. Click the Search icon to search and select the claiming bank customer id from the look-up, if it is a customer of the bank and if not select the WALKIN id and manually capture Bank name and address. This field is editable if Others option is selected in Claiming Bank field. This field is mandatory if Claiming Bank field has values.	
Claiming Bank Reference	The user can enter the claiming bank reference details, if the claimed is not received from Beneficiary. User can enter the Transaction Reference number from MT 765. In case of STP of incoming MT 765, system to populate the details from incoming MT 765. If the claim is received from the beneficiary, this field will not be editable. This field is mandatory if Claiming Bank field has values.	
Date of Demand	User can enter the date on which the demand is issued by the beneficiary. In case of STP of Incoming MT 765, the System to populate the value in tag 31L, Date of Demand from incoming MT 765.	
Demand Indicator	Read Only field. System defaults value from Guarantee /SBLC Advise.	
Demand Type	This field specifies the type of demand. The values are: • Extend or Settle • Settle In case of STP of Incoming MT 765, the demand type is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.	
Claim Currency/ Amount	System defaults the currency for claim. User can enter the claim amount.	

Field	Description	Sample Values
New Expiry Date	Specify the new expiry date, if Demand Type field is 'Extend or Settle'. This field is disabled if the Demand Type is 'Settle'. In case of STP of Incoming MT 765, the new expiry date is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim. System validates that the New Expiry Date is not earlier than the Expiry Date or not earlier than Branch Date	
New Expiry Date-Local Undertaking	Specify the new expiry date-Local Undertaking , if Demand Type field has the value as Extend or Settle. This field is disabled if the Demand Type is 'Settle'.	
Response Due Date	System defaults value from Guarantee /SBLC Issuance, if Demand Type field has the value as Settle. The user can change the value. Specify the response due date, if Demand Type field has the value as Extend or Settle.	
Demand Statement	Specify the narrative text for demand statement. This field specifies the narrative text that constitutes the demand. The codes can be: • COMP: Complete demand, no other documentation to accompany or follow this message. • INCP: Incomplete demand, supporting documentation to be presented separately. In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.	
Presentation Completion Details	The user can enter the presentation of completion details, if demand statement is provided. This field specifies information about the presentation documentation. If the presentation is incomplete, this must specify how the presentation will be completed In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.	

Field	Description	Sample Values
Additional Amount Information	The user can enter the details on additional amount in this field. In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim	
Intermediary	The user can enter the Intermediary bank details. This field specifies the financial institution through which the amount claimed must pass to reach the account with institution. In case of STP of Incoming MT 765, this field is defaulted from the incoming MT 765 message. In case of Non-Online, User can input the value as per claim.	
Account with Institution	The user can enter the details of Account with Institution. This field specifies the financial institution at which the amount claimed is to be settled. In case of STP of Incoming MT 765, this field is defaulted from the incoming message. In case of Non-Online, User can input the value as per claim	
Sender to Receiver Information	Specify the details of sender to receiver Information or click Search to search and select the Sender to Receiver Information from the look-up.	

3.3.2.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	

Field	Description	Sample Values
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	

Field	Description	Sample Values
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.3 Document Details

In Document Details, the user can view the Documents required for a claim and verify if the Claim Documents are submitted as per documents required. The user, can scrutinize the claim request and input data as required.

Oracle
ENTITY_ID1 (ENTITY_ID1)
Oracle Banking Trade Finan...
Aug 3, 2023
ZART/...
subham@gmail

Document Details
Document Details

Code Document Description Copy Original Document Received Action

No data to display.

Additional Conditions

FFT Code FFT Description Action

No data to display.

Request Clarification Reject Refer Hold Cancel Save & Close Back Next

Provide the Document details based on the description in the following table:

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Field	Description	Sample Values
Code	User can enter the document code.	
Document Description	User can enter the description of the document if any.	
Copy	Copy of the document.	
Original	Original claim document.	
Documents Received	Enable this option if document is received.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

Additional Conditions

The user can click + to add multiple FFT details.

FFT Code	FFT code is auto-populated, user can click plus + icon and click Search to search and select the FFT code. User can add or delete the code by deleting the line on the grid.	
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Field	Description	Sample Values
FFT Description	System defaults the FFT description based on the FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	

3.3.3.1

3.3.3.2 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	

Field	Description	Sample Values
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system and the task may be terminated or moved to Reject Approval Stage. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance-scrutiny stage inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.4 Additional Fields

This stage displays the additional fields based on the User defined fields maintained in the system.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

3.3.4.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance inputs.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.5 **Additional Details**

As a part of Additional details section, Guarantee /Standby claim may have impact on the Limits & Collaterals.

If any of the fields in the financial section of the pop up screen is checked then the limits and collaterals screen will be enabled.

In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

The screenshot displays the Oracle Banking Trade Finance application interface. The top header includes the Oracle logo, user information (ENTITY_ID1, ENTITY_L...), and the date (Aug 3, 2023). The main navigation bar contains tabs: Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Incoming Message, and View Undertaking. The left sidebar lists the main menu items: Main, Claim Details, Document Details, Additional Fields, Additional Details (selected), and Summary. The central area shows the 'Additional Details' section with a 'Charge Details' sub-section. The 'Charge Details' section contains the following information:

Charge Details	
Charge	: GBP 50.00
Commission	:
Tax	:
Block Status	: Not Initiated

The bottom of the screen features a navigation bar with buttons: Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, and Next.

3.3.5.1 Limits & Collateral

On Approval, system should not release the Earmarking against each limit line and system should handoff the "Limit Earmark Reference Number" to the back office. On successful handoff, back office will make use of these "Limit Earmark Reference Number" to release the Limit Earmark done in the mid office (OBTFPM) and should Earmark the limit from the Back office.

In case multiple Lines are applicable, Limit Earmark Reference for all lines to be passed to the back office.

Provide the Limit Details based on the description in the following table:

Limit & Collateral

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message	View
No data to display.										

ash Collateral Details

Collateral Percentage *	Collateral Currency and amount	Exchange Rate
100.0	AED	1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Response
No data to display.							

Limit Details

Customer Id

032204

Linkage Type *

Facility

Contribution % *

100.0

Contribution Currency

AED

Limit/Liability Currency

AED

Limit Check Response

Available

Expiry Date

Response Message

Balance available of AED 99994260148;

Liability Number *

032204

Line Id/Linkage Ref No *

032204AED

Limits Description

Amount to Earmark *

AED 110.00

Limit Available Amount

AED 0.00

ELCM Reference Number

Verify

Save & Close

Close

Field	Description	Sample Values
Plus Icon +	Click plus icon to add new Limit Details.	
Edit	Click edit link to edit the limit details.	

Limit Details

Click View link to view the limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks View link.

Field	Description	Sample Values
Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: • Facility • Liability • By default Linkage Type is "Facility".	
Contribution%	System will default this to 100% and user can modify. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message. Note The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified."	
Liability Number	Click Search to search and select the Liability Number from the look-up.	
Contribution Currency	The guarantee currency will be defaulted in this field.	
Line ID/Linkage Ref No	User can choose from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.	
Limit/ Liability Currency	Limit Currency will be defaulted in this field.	
Limits Description	This field will display the description of the limits.	

Field	Description	Sample Values
Limit Check Response	Response can be 'Success' or 'Limit not Available'. This field displays the value, if you click Verify button.	
Amount to Earmark	Amount to earmark will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. This field displays the value, if you click Verify button.	
Response Message	Detailed Response message. This field displays the value, if you click Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Provide the collateral details based on the description provided in the following table:

Collateral Details

Total Collateral Amount *

AED 10.00

Sequence Number

1.0

Collateral Contribution Amount *

AED 1.00

Settlement Account Currency

AED

Contribution Amount in Account Currency

AED 1.00

Response

VS

Verify

Collateral Amount to be Collected *

AED 10.00

Collateral Split % *

10.0

Settlement Account *

0912160013

Exchange Rate

1.0

Account Available Amount

AED 1,984,452.45

Response Message

The amount block can be performed as the account has sufficient balance

Save & Close

Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	Specify the percentage of collateral to be linked to this transaction.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. User can modify the collateral Currency and amount. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified.	

Click + plus icon to add new collateral details.

Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	

Field	Description	Sample Values
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Collateral contribution amount will get defaulted in this field. The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.	
Settlement Account	Select the settlement account for the collateral.	
Settlement Account Currency	Select the Settlement Account Currency.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	
Below fields appear in the Cash Collateral Details grid along with the above fields.		
Collateral %	User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	

Field	Description	Sample Values
Account Balance Check Response	Response for account balance check is defaulted in this field.	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

3.3.5.2 Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and Taxes

Recalculate
Redefault

Commission Details

Amount

Amount Description

Component	Rate	Mod. Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settl. Acct	Amendable
-----------	------	-----------	----------	--------	----------	-------	-------	--------------	-------------	-----------

No data to display.

Page 1 (0 of 0 items)
1

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
-----------	--------------	------------	----------	--------	----------	---------	-------	-------	--------------	--------------------

No data to display.

Page 1 (0 of 0 items)
1

Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
-----------	------	------------	-----	--------	---------	-------	-------------

No data to display.

Save & Close
Cancel

Save & Close
Cancel

3.3.5.3 Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. User can change the rate, if required.	

Field	Description	Sample Values
Modified Rate	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	
Waive	Select the check box to waive charges/commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary.	
Settlement Account	Details of the Settlement Account.	
Amendable	Displays if the field is amendable or not.	

3.3.5.4 Charge Details

Provide the Charge Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	User can enter a new amount in 'Modified amount' field. This will be the new charge for the modified component.	

Field	Description	Sample Values
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

3.3.5.5 Tax Details

The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Following Tax Details will be displayed:

Field	Description	Sample Values
Component	Tax Component type.	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	

Field	Description	Sample Values
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

3.3.5.6 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny Stage Inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Back	On clicking the Back, system should move the task to the previous segment.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

3.3.6 Summary

User can review the summary screen for Guarantee /Standby Claim request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system, user can see the summary tiles. The tiles must display a list of important fields with values. The tiles where fields have been amended is highlighted in different color, User must be also able to drill down from summary tiles into respective data segments.

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

3.3.6.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Submit	Task will get moved to next logical stage of Guarantee Claim. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Scrutiny inputs.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Back	On clicking the Back, system should move the task to the previous segment.	

3.4 Data Enrichment

As part of Data Enrichment, user can enter/update the various fields of the claim request. The user can also input the transaction details.

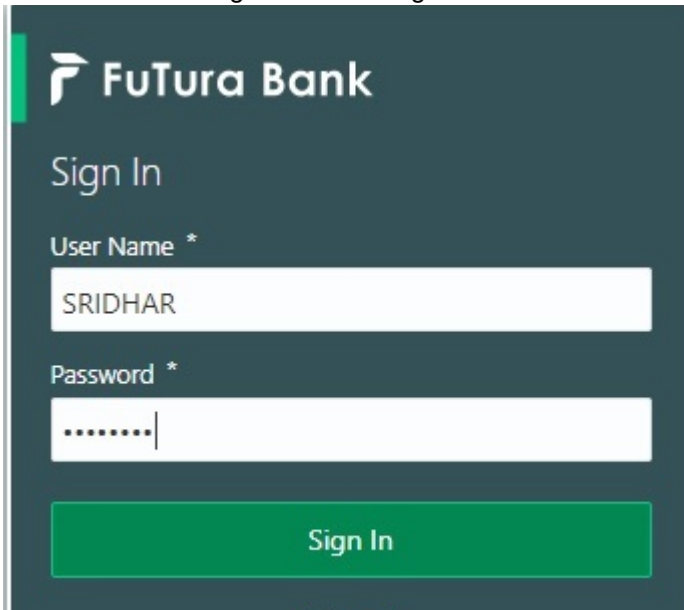
In case of requests received through SWIFT MT765, the task will be created in DE stage directly and the fields will be populated based on the incoming request.

Note

For expired line of limits, the task moves to “Limit Exception” stage under Free Tasks, on ‘Submit’ of DE Stage with the reason for exception as “Limit Expired”.

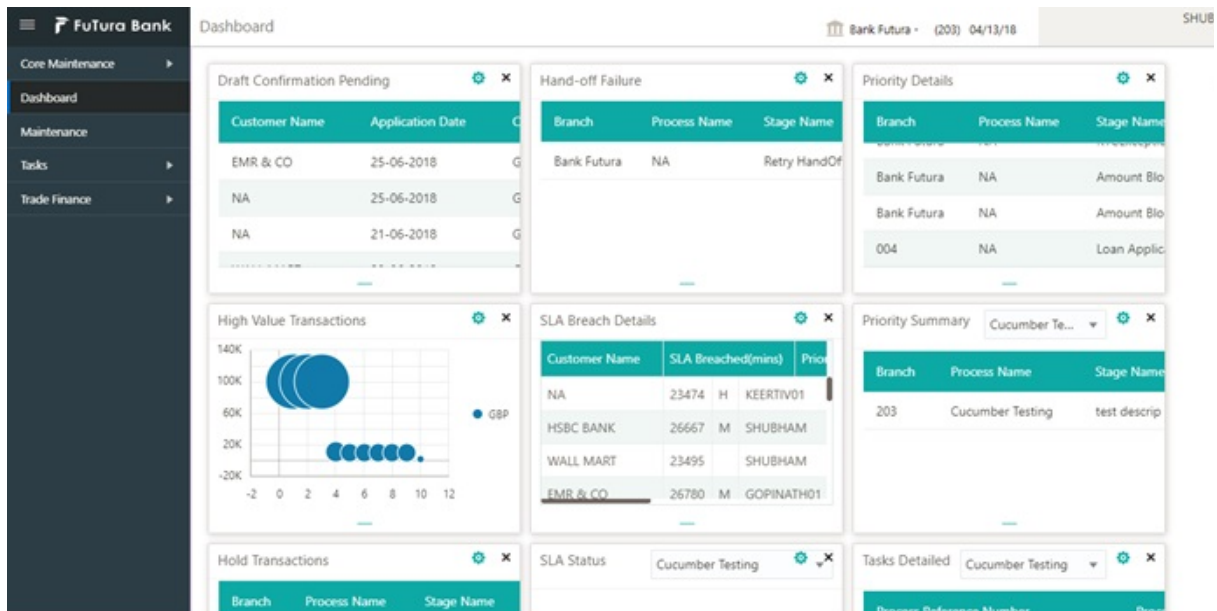
Do the following steps to acquire a task which completed the Registration and Scrutiny and currently at Data enrichment stage:

1. Using the entitled login credentials for scrutiny stage, login to the OBTFPM application.

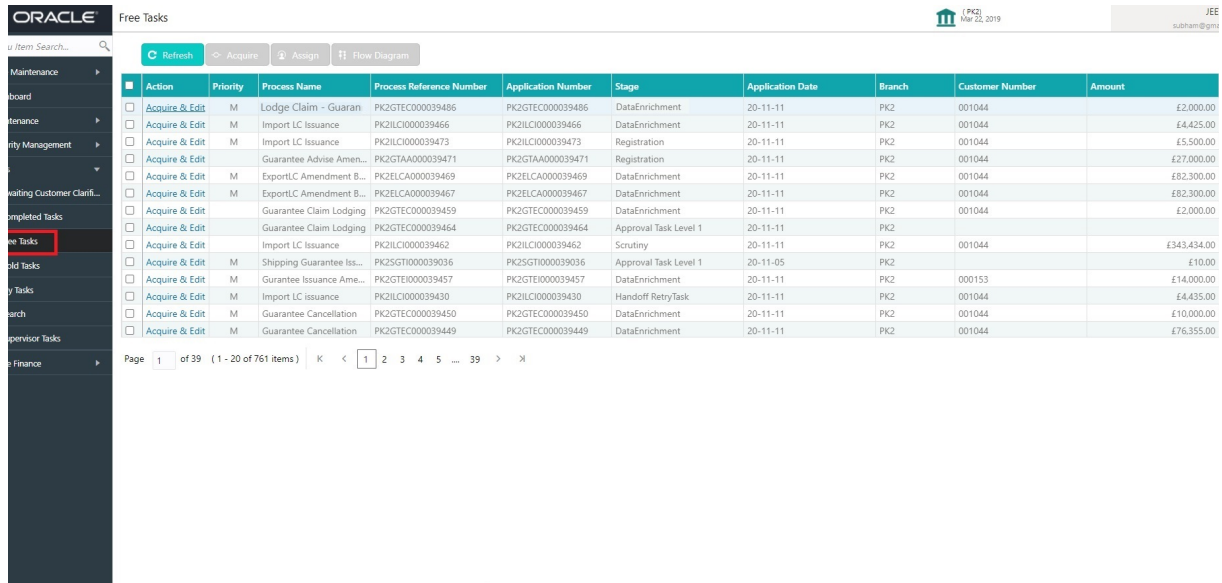


The image shows the login interface for FuTura Bank. It features a dark blue header with the bank's logo and name. Below the header, there is a 'Sign In' section with two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button is positioned at the bottom of the form.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



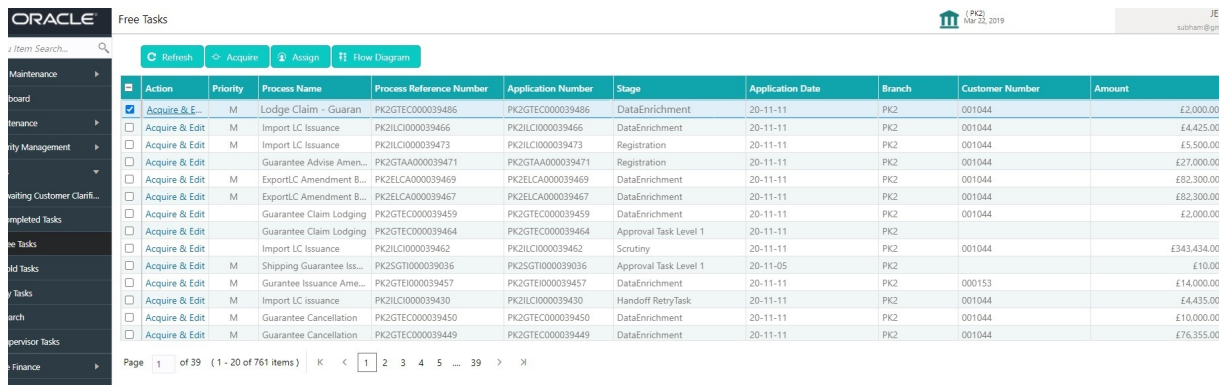
3. Click Trade Finance> Tasks> Free Tasks.



The screenshot shows the Oracle Trade Finance interface. On the left is a navigation menu with 'Free Tasks' highlighted. The main area displays a table of tasks. The table has columns: Action, Priority, Process Name, Process Reference Number, Application Number, Stage, Application Date, Branch, Customer Number, and Amount. The first row shows a task with Action 'Acquire & Edit', Priority 'M', Process Name 'Lodge Claim - Guarant...', Process Reference Number 'PK2GTCEC000039486', Application Number 'PK2GTCEC000039486', Stage 'DataEnrichment', Application Date '20-11-11', Branch 'PK2', Customer Number '001044', and Amount '£2,000.00'. The table is paginated, showing 1 of 39 items.

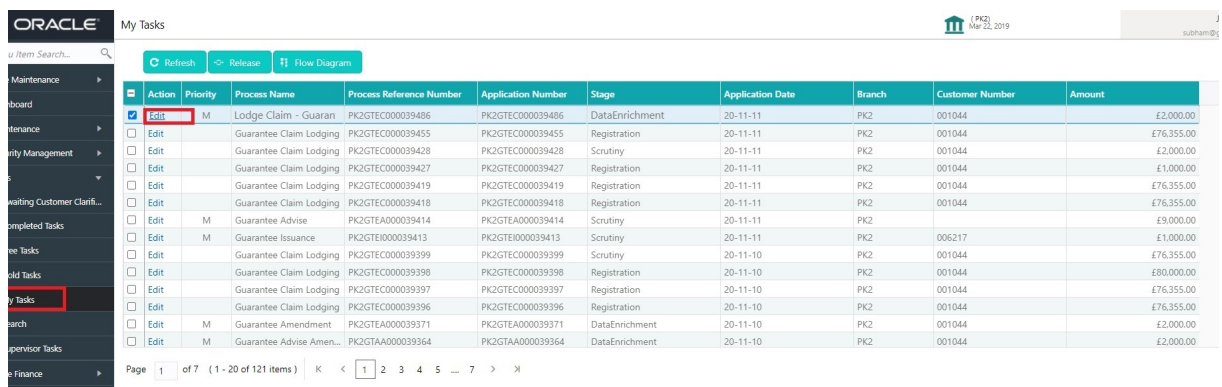
Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Acquire & Edit	M	Lodge Claim - Guarant...	PK2GTCEC000039486	PK2GTCEC000039486	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039466	PK2ILCI000039466	DataEnrichment	20-11-11	PK2	001044	£4,425.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039473	PK2ILCI000039473	Registration	20-11-11	PK2	001044	£5,500.00
Acquire & Edit	M	Guarantee Advise Amen...	PK2GTAA000039471	PK2GTAA000039471	Registration	20-11-11	PK2	001044	£27,000.00
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000039469	PK2ELCA000039469	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Export LC Amendment B...	PK2ELCA000039467	PK2ELCA000039467	DataEnrichment	20-11-11	PK2	001044	£82,300.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039459	PK2GTCEC000039459	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Acquire & Edit	M	Guarantee Claim Lodging	PK2GTCEC000039464	PK2GTCEC000039464	Approval Task Level 1	20-11-11	PK2		
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039462	PK2ILCI000039462	Scrutiny	20-11-11	PK2	001044	£343,434.00
Acquire & Edit	M	Shipping Guarantee Iss...	PK2SGTI000039036	PK2SGTI000039036	Approval Task Level 1	20-11-05	PK2		£10.00
Acquire & Edit	M	Guarantee Issuance Ame...	PK2GTETI000039457	PK2GTETI000039457	DataEnrichment	20-11-11	PK2	000153	£14,000.00
Acquire & Edit	M	Import LC Issuance	PK2ILCI000039430	PK2ILCI000039430	Handoff RetryTask	20-11-11	PK2	001044	£4,435.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039450	PK2GTCEC000039450	DataEnrichment	20-11-11	PK2	001044	£10,000.00
Acquire & Edit	M	Guarantee Cancellation	PK2GTCEC000039449	PK2GTCEC000039449	DataEnrichment	20-11-11	PK2	001044	£76,355.00

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



This screenshot is identical to the previous one, but the 'Acquire & Edit' button in the table's first row is highlighted with a red box.

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.



The screenshot shows the Oracle Trade Finance 'My Tasks' page. The 'My Tasks' tab is selected in the left navigation menu. The table displays tasks that have been acquired. The first row shows a task with Action 'Edit', Priority 'M', Process Name 'Lodge Claim - Guarant...', Process Reference Number 'PK2GTCEC000039486', Application Number 'PK2GTCEC000039486', Stage 'DataEnrichment', Application Date '20-11-11', Branch 'PK2', Customer Number '001044', and Amount '£2,000.00'. The table is paginated, showing 1 of 7 items.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
Edit	M	Lodge Claim - Guarant...	PK2GTCEC000039486	PK2GTCEC000039486	DataEnrichment	20-11-11	PK2	001044	£2,000.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039455	PK2GTCEC000039455	Registration	20-11-11	PK2	001044	£76,355.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039428	PK2GTCEC000039428	Scrutiny	20-11-11	PK2	001044	£2,000.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039427	PK2GTCEC000039427	Registration	20-11-11	PK2	001044	£1,000.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039419	PK2GTCEC000039419	Registration	20-11-11	PK2	001044	£76,355.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039418	PK2GTCEC000039418	Registration	20-11-11	PK2	001044	£76,355.00
Edit	M	Guarantee Advise	PK2GTAA000039414	PK2GTAA000039414	Scrutiny	20-11-11	PK2		£9,000.00
Edit	M	Guarantee Issuance	PK2GTETI000039413	PK2GTETI000039413	Scrutiny	20-11-11	PK2	006217	£1,000.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039399	PK2GTCEC000039399	Scrutiny	20-11-10	PK2	001044	£76,355.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039398	PK2GTCEC000039398	Registration	20-11-10	PK2	001044	£80,000.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039397	PK2GTCEC000039397	Registration	20-11-10	PK2	001044	£76,355.00
Edit		Guarantee Claim Lodging	PK2GTCEC000039396	PK2GTCEC000039396	Registration	20-11-10	PK2	001044	£76,355.00
Edit	M	Guarantee Amendment	PK2GTAA000039371	PK2GTAA000039371	DataEnrichment	20-11-10	PK2	001044	£2,000.00
Edit	M	Guarantee Advise Amen...	PK2GTAA000039364	PK2GTAA000039364	DataEnrichment	20-11-10	PK2	001044	£2,000.00

The Data Enrichment stage has sections as follows:

- Main Details
- Claim Details

- Document Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

3.4.1 **Main Details**

Refer to [3.3.1 Main Details](#).

3.4.2 **Claim Details**

Refer to [3.3.2 Claim Details](#).

3.4.3 **Document Details**

As a part of Data Enrichment the user can capture the documents under claim and user must be able to input the details if required.

System defaults the document details if documents to be submitted were provided in the Guarantee Issuance, else the user can capture the documents submitted under the claim.

Refer to [3.4.3 Document Details](#)

3.4.4 **Additional Fields**

This stage displays the additional fields based on the User defined fields maintained in the system.

The screenshot displays the Oracle application interface for the 'Additional Fields' section. The top navigation bar shows the Oracle logo, 'Free Tasks', and user information (JEEV subham@gmail.com). The left sidebar lists navigation options: Main, Claim Details, Document Details, Additional Fields (highlighted), Advices, Additional Details, Settlement Details, and Summary. The main content area is titled 'Additional Fields' and is currently empty. At the bottom, there is a row of action buttons: Reject, Refer, Hold, Cancel, Save & Close, Back, and New.

Refer to [3.3.4 Additional Fields](#).

3.4.5 Advices

This section defaults the advices maintained for the product based on the advices maintained at the Product level. In case of STP of Incoming MT 765, values should be handled as done in Offline process for Guarantee Claim.

Oracle Free Tasks

Guarantee Claim Lodging - DataEnrichment :: Application No: PK2GTEC000039522

Advices

Advice : GUA_CLAIM_ADV

Advice Name : GUA_CLAIM_ADV
 Advice Party : APP
 Party Name : GOODCARE PLC
 Suppress : NO
 Advice

Audit

Reject Refer Hold Cancel Save & Close Back

The user can also suppress the Advice, if required.

Advice Details

Advice Details

ppress Advice ☐

Advice Name: GUA_ACK_ADVICE Medium: SWIFT Advice Party: APP

Party ID: 01044 Party Name:

FFT Code

FFT Code	FFT Description	Action
23RREJTRESP		

Instructions

Instruction Code	Instruction Description	Edit	Action
INSTRUCTIONS	INSTRUCTION		

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	Read only field. Displays the advise name.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party ID	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Party Name	Read only field. Value be defaulted from Guarantee /SBLC advise.	
Free Format Text		
	Click plus icon to add new FFT code.	
FTT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	

Field	Description	Sample Values
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

3.4.5.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Guarantee Advice Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	

3.4.6 Additional Details

3.4.6.1 Limits & Collateral

Refer to [3.3.5.1 Limits & Collateral](#).

3.4.6.2 Charge Details

Refer to [3.3.5.4 Charge Details](#)

3.4.6.3 Tracer Details

The bank users can capture these tracer details for Claim Lodgment in Guarantee and should send the tracers to the customer till its Settled / Extended / Rejected / Injunction.

Field	Description	Sample Values
Tracer Code	Read only field. Tracer code is defaulted by the system maintained in the product level.	
Description	Read only field. Description of the tracer code is auto populated.	
Party Type	Specify the party type or click 'Search' to search and select the party type from the lookup.	
Required	Enable this option, if respective tracer is required.	

Field	Description	Sample Values
Maximum Tracers	Specify the value for maximum number of tracers to be sent. Maximum allowed is 99 exceeding the same system should prompt an error message for the same "Maximum number of numerals allowed is: 2" and should clear the field to enter the correct value by the user. Maximum Tracers cannot be less than the "Number Sent", system needs to validate the same.	
Number Sent	Number Sent is defaulted by the System with the value, where the number of tracers sent so far. And it cannot be greater than the "Maximum Tracers".	
Start Days	Specify the number of days after which the tracer has to be sent from the Tracer Start date. It should be positive numeric value.	
Last Sent On	Read only field. Tracer last sent date is defaulted by the system.	
Medium	Select the medium in which the Tracer has to be generated. It lists all the possible mediums maintained in the system. The options are: • SWIFT MAIL	
Frequency	Specify the medium in which the Tracer has to be generated. It should be positive numeric value.	
Template ID	Specify the template ID or click 'Search' to search and select the template ID in which the tracer has to be generated from the lookup. It is a lookup which lists all the possible templates maintained in the system. Template ID is nothing but the data that goes in Tag 79 in MT799. This template ID is applicable only for medium 'SWIFT' Template lookup displays all the template ids applicable for the given Tracer Code.	
Action	Click the Edit icon to edit the tracer details.	

3.4.7 Preview Message

Based on details captured in the previous screen, the preview message simulated from the back office and the user can view the message.

Preview Message

Preview - SWIFT Message

Language

English

Message Type

765

Message Status

GENERATED

Repair Reason

Preview - Mail Advice

Language

English

Advice Type

DEBIT_ADVICE

Message Status

GENERATED

Repair Reason

Preview Message

```

-----Instance Type and Transmission-----
Original Received from Application - Outgoing Draft
Priority/Delivery      : Urgent
-----Message Header-----
Swift Input           : FIN 765 Guarantee/Standby Letter of Credit Demand
Sender Swift address  : AAENNL21XXX
                      : ANTHOS ASSET MANAGEMENT B.V.
                      : JACHTHAVENMEG 111
                      : 1008 AB AMSTERDAM
Receiver Swift address : MSHQEGCAXX
                      : MASHREQ BANK CAIRO
                      : MSHQEGCAXX
                      : ENGLAND
                      : UK - IND7
-----User Header-----
Message-User-Reference : 3032335946928317
-----Message Text-----
:20: Transaction Reference Number
      032GUAD232141505
:21: Related Reference
      FDFDR6578

```

Preview Message

DEBIT ADVICE/TAX INVOICE

DATE:

03-AUG-23

PAGE : 1

BRANCH ID:

BRANCH NAME:

BANK TRN:

100282764800003

TRANS TIME:

Air Arabia

Air Arabia

gopinath.subramanian@oracle.com;CC;shahul.ha.hameed@oracle.com

Debit Advice

03-AUG-23

Save & Close

Close

Field	Description	Sample Values
Preview SWIFT Message		
Language	Read only field. English is set as default language for the preview	
Message Type	Select the message type.	
Message Status	Read only field. Display the message status of draft message of guarantee details.	
Repair Reason	Read only field. Display the message repair reason of draft message of guarantee details.	
Preview Message	Display a preview of the draft message.	
Preview Mail Device		
Language	Read only field. English is set as default language for the preview	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of advice message of guarantee details.	

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ORACLE®

Field	Description	Sample Values
Repair Reason	Read only field. Display the message repair reason of advice message of guarantee details.	
Preview Message	Display a preview of the advice.	

3.4.7.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Field	Description	Sample Values
Request Clarification	User should be able to specify the clarification details for requests received online.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Lodge Claim Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

3.4.8 Settlement Details

Provide the settlement details based on the description in the following table:

The screenshot displays the Oracle Settlement Details screen. At the top, there's a navigation bar with tabs like 'Clarification Details', 'Documents', 'Remarks', 'Overrides', 'Customer Instruction', 'Incoming Message', and 'View Undertaking'. Below this, a table lists settlement components. The table has columns: Component, Currency, Debit/Credit, Account, Account Description, Account Currency, Netting Indicator, and Current Event. Below the table, there are sections for 'AGUIR_COMM_LIQD - Party Details' (including Transfer Type, Ordering Institution, etc.), 'Payment Details' (Sender To Receiver 1-4), and 'Remittance Information' (Payment Detail 1-4). The interface includes various search and selection buttons.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Current Event	System displays the current event as Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

3.4.8.1 Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer • None	
Charge Details	Select the charge details for the transactions: • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges	
Netting Indicator	Select the netting indicator for the component: • Yes • No	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	
Receiver	Click Search to search and select the receiver.	

3.4.8.2 Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

3.4.8.3 Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

3.4.8.4 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Back	On clicking the Back, system should move the task to the previous segment.	
Next	Task will get moved to next logical stage of Guarantee Amendment Advise. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

3.4.9 Summary

User can review the summary of details updated in Data Enrichment stage for claim logged under Guarantee / SBLC Issued request.

Log in to Oracle Banking Trade Finance Process Management (OBTfPM) system to see the Summary tiles. The tiles must display a list of important fields with values.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTfPM) system interface. The main content area is titled 'Summary' and displays a grid of summary tiles for a Guarantee Issued request. The tiles are organized into four rows and four columns. The first row contains Main, Claim Details, Document Details, and Additional Fields. The second row contains Advices, Commission, Charges and taxes, Preview Messages, and Settlement Details. The third row contains Party Details, Compliance, Accounting Details, and Tracer Details. The bottom row contains buttons for Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit. The top navigation bar includes the Oracle logo, user information, and various tabs like Clarification Details, Documents, Remarks, Overrides, Customer Instruction, Incoming Message, and View Undertaking.

Main	Claim Details	Document Details	Additional Fields
Booking Date : 2023-08-03 Submission Mode : Desk Amount : AED 100	Demand Type : Settle New ExpiryDate : Intermediary :	Document 1 : Document 2 :	Click here to view Additional fields :
Advices Advice 1 : GUA_CLAIM_AD... Advice 2 : PAYMENT_MESS...	Commission, Charges and taxes Charge : GBP 50.00 Commission : Tax : Block Status : Not Initiated	Preview Messages Language : ENG Preview Message :	Settlement Details Component : LCGCLM_LIQD Account Number : 0322040001 Currency : GBP
Party Details Beneficiary : Aldar Proper... Applicant : Air Arabia	Compliance KYC : Not Initiate... Sanctions : Not Initiate... AML : Not Initiate...	Accounting Details Event : GCLM AccountNumber : 0322040001 Branch : 032	Tracer Details Tracer Code : Required : Medium : Frequency :

Tiles Displayed in Summary

- Main Details - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.

- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.
- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries.

- Tracer Details - User can view the tracer details.

3.4.9.1 Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Clarification Details	Clicking the button opens a detailed screen, user can see the clarification details in the window and the status will be Clarification Requested.	
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Customer Instruction	Click to view/ input the following ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	
Request Clarification	User should be able to specify the clarification details for requests received online.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	On click of Refer, user will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others.	

Field	Description	Sample Values
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Cancel	Cancel the Lodge Claim Guarantee Issuance Data Enrichment inputs.	
Next	Task will get moved to next logical stage of Lodge Claim Guarantee Issuance. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Back	On clicking the Back, system should move the task to the previous segment.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	

3.5 Multi Level Approval

This stage allows the approver user to approve a Claim Lodged under Guarantee Issued Transaction.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

In case of MT 765, Approval stage processing is same as in Offline Processing for Guarantee Claim.

Note

The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

3.5.1 Re-Key Authorization

The application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

-
- Claim Amount
- Currency

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Application Number	Stage	Application Date	Branch	Customer Number
TEC000017473	Approval Task Level 1	21-06-13	PK2	001044
TEC000017466	Registration	21-06-13	PK2	001044
TEI000017462	Registration	21-06-13	PK2	001044
TEI000017461	Registration	21-06-13	PK2	001044
TEI000017439	Approval Task Level 1	21-06-13	PK2	001044
TEI000017437	Registration	21-06-13	PK2	001044
TEI000017436	DataEnrichment	21-06-13	PK2	001044
TEI000017435	DataEnrichment	21-06-13	PK2	001044
TEA000017356	Approval Task Level 1	21-06-13	PK2	001044
TB000017357	KYC Exceptional approval	21-06-13	PK2	001204

3.5.1.1 Summary

Main	Claim Details	Document Details	Additional Fields	Advices
Working Date : 2021-06-13 Submission Mode : Desk Amount : GBP 100	Demand Type : S New ExpiryDate : Intermediary :	Document 1 : Document 2 :	Click here to view : Additional fields	Advice 1 : GUA_ACK_ADV... Advice 2 : GUA_CLAIM_AD... Advice 3 : TRADE_ENVELO...

Limits and Collaterals	Commission, Charges and taxes	Preview Messages	Settlement Details	Party Details
Contribution Currency : Contribution Amount : Limit Status : Not Verified Collateral Currency : Collateral Contr. : Collateral Status : Not Verified	Charge : GBP 50.00 Commission : Tax : Block Status : Failed	Language : ENG Preview Message : -	Component : OTHBNKCHG_LL... Account Number : PK2001044001... Currency : GBP	Advising Bank : CITIBANK IRE... Beneficiary : MARKS AND SP... Applicant : GOODCARE PLC

Compliance	Accounting Details	Exception(Approval)	Tracer Details
KYC : Not Initiate... Sanctions : Verified AML : Verified	Event : GCLM AccountNumber : 313100003 Branch : PK2	AmountBlockKYC : EXCEPTION PLEASE VISIT : - REMARKS FOR MORE DETAILS	Tracer Code : GUA_CLM_TRAC... Required : No Medium : Frequency :

Tiles Displayed in Summary

- Main - User can view the application details and Guarantee/ Standby details. User can modify the details if required.
- Claim Details - User can view the claim details.
- Documents Details- User can view the Document details.
- Additional Fields - User can view the additional fields.
- Advices - User can view the advices details.
- Commission, tax and Charges - User can view the details provided for charges. User can modify the details if required.
- Preview Messages - User can drill down to view the message preview, legal verification and customer draft confirmation details. The message preview screen has the Legal Verification details.
- Settlement Details - User can view the settlement details.

- Party Details - User can view the party details like beneficiary, advising bank etc.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries.

Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Exception (Approval) - User can view the exception (Approval) details.
- Tracer Details - User can view the tracer details.

3.5.1.2 **Action Buttons**

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. Note Not applicable for STP of SWIFT MT 765.	
Remarks	Click the Remarks icon to view the remarks captured as part of Registration stage and also can input Remarks, which can be seen by other users.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instruction	Click to view/ input the following • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 765.	
View Undertaking	Clicking this button allows the user to view the underlying Guarantee/SBLC from the back office system.	

Field	Description	Sample Values
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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